



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

BUSH *et al.*

Appl. No. 09/956,980

Filed: September 21, 2001

For: **Agents for Use in the Treatment of
Alzheimer's Disease**

Confirmation No.: 6687

Art Unit: 1614

Examiner: Weddington, K.

Atty. Docket: 0609.4550001/AG/FRC

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**Statement under 37 C.F.R. § 1.48(a)(2) of A Person
To Be Added Or Deleted As An Inventor**

Commissioner for Patents
Washington, D.C. 20231

Sir:

I am an inventor/coinventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed erroneously not naming me as an inventor. The inventorship error occurred without deceptive intent on my part. I have read and understand 37 C.F.R. § 10.18(b).

Signed: Robert Cherny

Robert Cherny

Date: 05/08/2002

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Substitute Declaration for Patent Application

Docket Number: 0609.4550001/JAG/FRC

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled:
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the specification of which is attached hereto unless the following box is checked:

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Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No

(Application No.)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Application No.)

(Country)

(Day/Month/Year Filed)

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Washington, D.C. 20005-3934


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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | |
|-------------------------------------|---|
| Full name of sole or first inventor | Ashley I. Bush |
| Signature of sole or first inventor | Date |
| Residence | Somerville, Massachusetts |
| Citizenship | Australia |
| Mailing Address | 91 Summer Street #3, Somerville, MA 02143 |
| | |
| Full name of second inventor | Xudong Huang |
| Signature of second inventor | Date |
| Residence | Andover, Massachusetts |
| Citizenship | China |
| Mailing Address | 11 Patricia Circle, Andover, MA 01810 |
| | |
| Full name of third inventor | Craig S. Atwood |
| Signature of third inventor | Date |
| Residence | Brecksville, Ohio |
| Citizenship | Australia |
| Mailing Address | 7520 Sanctuary Circle, Brecksville, OH 44141 |
| | |

| | | |
|------------------------------|---|--------------------------------|
| Full name of fourth inventor | Rudolph E. Tanzi | |
| Signature of fourth inventor | | Date |
| Residence | Hull, Massachusetts | |
| Citizenship | U.S.A. | |
| Mailing Address | 3 Oceanside Drive, Hull, MA 02045 | |
| | | |
| Full name of fifth inventor | Robert Cherny | |
| Signature of fifth inventor |  | Date August 8th 2002 |
| Residence | MELBOURNE Parkville, Australia | |
| Citizenship | Australia | |
| Mailing Address | 33 Davey Avenue, Brighton East, VIC, Australia 3187 | |
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(Supply similar information and signature for subsequent joint inventors, if any)



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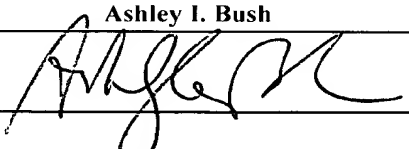
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| | | |
|-------------------------------------|--|-----------------|
| Full name of sole or first inventor | Ashley I. Bush | |
| Signature of sole or first inventor |  | Date 8/19/02 |
| Residence | Somerville, Massachusetts | |
| Citizenship | Australia | |
| Mailing Address | 91 Summer Street #3, Somerville, MA 02143 | |
| | | |
| Full name of second inventor | Xudong Huang | |
| Signature of second inventor | | Date |
| Residence | Andover, Massachusetts | |
| Citizenship | China | |
| Mailing Address | 11 Patricia Circle, Andover, MA 01810 | |
| | | |
| Full name of third inventor | Craig S. Atwood | |
| Signature of third inventor | | Date |
| Residence | Brecksville, Ohio | |
| Citizenship | Australia | |
| Mailing Address | 7520 Sanctuary Circle, Brecksville, OH 44141 | |
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| Signature of fourth inventor | Date |
| Residence | Hull, Massachusetts |
| Citizenship | U.S.A. |
| Mailing Address | 3 Oceanside Drive, Hull, MA 02045 |
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| Signature of fifth inventor | Date |
| Residence | Parkville, Australia |
| Citizenship | Australia |
| Mailing Address | 33 Davey Avenue, Brighton East, VIC, Australia 3187 |
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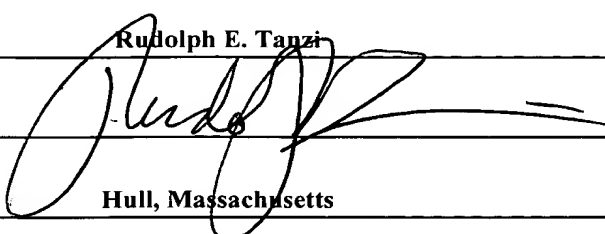
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| Full name of fourth inventor | Rudolph E. Tanzi | |
| Signature of fourth inventor |  | Date 9/20/22 |
| Residence | Hull, Massachusetts | |
| Citizenship | U.S.A. | |
| Mailing Address | 3 Oceanside Drive, Hull, MA 02045 | |
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| Full name of fifth inventor | Robert Cherny | |
| Signature of fifth inventor | Date | |
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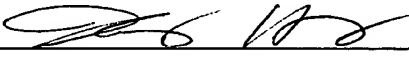
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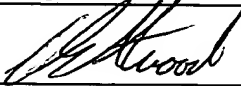
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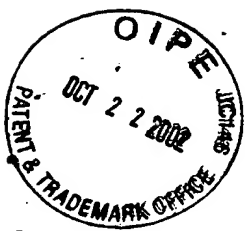
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Art Unit: 1614

Examiner: Weddington, K.

Atty. Docket: 0609.4550001/JAG/FRC

Consent of the Assignee under 37 C.F.R. § 1.48(a)(5)

Commissioner for Patents
Washington, D.C. 20231

Sir:

The General Hospital Corporation, assignee of the entire right, title and interest of
Ashley I. Bush, Xudong Huang, Craig S. Atwood and Rudolph E. Tanzi in the above-
captioned patent application, consents to the change in inventorship from:

Ashley I. Bush, Xudong Huang, Craig S. Atwood and Rudolph E. Tanzi

to

Ashley I. Bush, Xudong Huang, Craig S. Atwood, Rudolph E. Tanzi and Robert Cherny.

I have read and understand 37 C.F.R. § 10.18(b).

For: The General Hospital Corporation

Signature: *F. Tonello*

Type or Print Name

FRANCIS TONELLO, Ph.D.
CORPORATE SPONSORED RESEARCH AND LICENSING
DIRECTOR

Title:

Date: 10-7-02

SKGF_DC1:27790.1

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Certificate Under 37 C.F.R. § 3.73(b)

Applicant(s): Ashley I Bush, Xudong Huang, Craig S. Atwood, and Rudolph E. Tanzi

Application No: 09/038,154 Filed: March 11, 1998

For: Agents for Use in the Treatment of Alzheimer's Disease

The General Hospital Corporation, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is an assignee of the patent application identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[or]

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature _____
Date: _____

Name: David J. Glass, Ph.D.

Title: Associate Director for Patents, Office of Technology Affairs

Date 7/14/98
Signature _____